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Atty. Dkt. No. 071671-0156

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Tadashi EMORI, et al.



SPEAKER'S VOICE  
RECOGNITION SYSTEM,  
METHOD AND RECORDING  
MEDIUM

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OCT 17 2001

Technology Center 2600

Appl. No.: 09/695,067

Filing Date: 10/25/2000

Examiner: Unknown

Art Unit: 2641

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

**STATEMENT**

The undersigned hereby states in accordance with 37 C.F.R. §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

**RELEVANCE OF EACH DOCUMENT**

The foregoing documents were cited in the European Search Report in Applicants' European Application EP 00 123 247. A copy of the European Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing,

the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: October 16, 2001

FOLEY & LARDNER  
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

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Form PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

071671-0156

SERIAL NO.

09/695,067

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT

Tadashi EMORI, et al.

FILING DATE

10/25/2000

GROUP ART UNIT

2641

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A1	5,625,747	04/29/97	Goldberg et al.	395	2.52	

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	A2	0 866 442 A2	09/23/98	Europe				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A3	S. Umesh et al., "Frequency-Warping and Speaker-Normalization," <u>IEEE International Conference on Acoustics, Speech and Signal Processing</u> , vol. 2, pgs. 983-986, Munich, Germany, (1997), © 1997 IEEE.
	A4	L. Lee et al., "A Frequency Warping Approach to Speaker Normalization," <u>IEEE Transactions on Speech and Audio Processing</u> , vol. 6, pgs. 49-60, (1998), © 1998 IEEE.
	A5	T. Fukada et al., "Speaker Normalized Acoustic Modeling Based on 3-D Viterbi Decoding," <u>IEEE Conference</u> , vol. 23., Pgs., 437-440, (1998), © 1998 IEEE.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.